

Financial and Securities Solutions

Gain an edge in today's market.

Financial and Securities Solutions is a suite of out-of-the-box Software as a Service (SaaS) solutions that enables financial services clients to leverage world-class expertise, processes and technology, and reach new heights in today's competitive environment.

Our comprehensive, robust products provide mutual fund firms with a spectrum of tools to make their business run more effectively and boost operational performance.

A Full Scope of Services at Your Fingertips

Leverage one or more of our advanced solutions to modernize your operations and maximize your potential.

- Gather, analyze and track transactional financial data
- Seamlessly integrate sales and marketing data with CRM
- Report firm-, branch- and rep-level activity
- Track purchases, redemptions and assets
- Access, manage, integrate and report Omnibus data
- Integrate and report on Supermarket detail data
- Gain the loyalty of current customers and attract new ones.

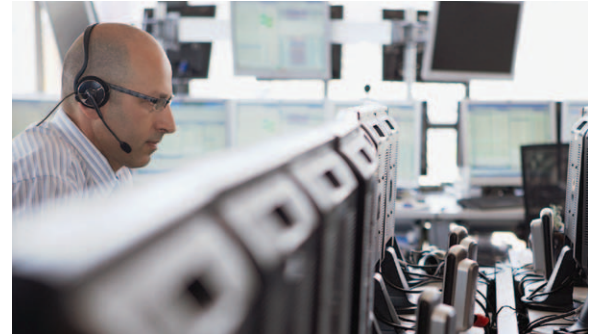
Features and Benefits

- Unmatched customer service
- Easy identification of cross-selling and up-selling opportunities
- True automated reconciliation
- The ability to view reports in any combination
- Seamless software implementation, maintenance and upgrades

Our solutions enable you to:

- Consolidate data and provide a clear picture of your organization's relationship with customers
- Accumulate customer service information that fosters customer loyalty, all in a single, easy-to access database
- Lock in your most profitable clients
- Evaluate clients' asset potential, monitor activity, and target clients that best fit your firm's investment strategies.

As a group, or individually, these products significantly increase the potential for asset acquisition.



Our Broker/Dealer Solution

With an abundance of distribution channels, mutual fund sales reporting is more complicated than ever. The Broker/Dealer solution is a powerful tool that helps you manage key operational tasks, including:

- Transaction reconciliation
- Wholesaler commission
- Literature fulfillment
- Comprehensive sales reporting
- Compliance reporting and trend analysis.

The Broker/Dealer Solution serves as the central location for all your transactional sales data. It accepts data feeds from multiple sources, including major Transfer Agents, as well as Variable Annuity, Supermarket and Omnibus data providers.

Our Data Stewardship services provide rigorous daily management and verification of data to ensure it is current, accurate, and organized for optimal client use.

Business Intelligence

Newly incorporated into our Broker/Dealer solution is a Business Intelligence product powered by Qliktech. This sophisticated technology allows us to provide extremely flexible reporting on the fly as well as the use of KPI's to measure performance. Leverage it to graphically display results and view trend lines. You'll have access to a comprehensive list of flexible views and reports, enabling you to compare performance across a spectrum of funds, firms, or wholesalers – the possibilities are endless.

Our CRM Solution

Bundle the Broker/Dealer solution with our CRM solution, and you have one of the market's most comprehensive, end-to-end packages.

We partnered with SalesLogix, an industry-leading CRM software vendor, to create our CRM. It integrates sales and marketing data from the Broker/Dealer Solution into a comprehensive CRM solution for internal and external wholesalers.

Powerful Technology at Your Service

Using industry-leading marketing strategies and systems, our CRM empowers wholesalers to be proactive in managing and growing the firm's assets under management. The tool includes marketing campaigns, automated processes and reports to manage critical daily activity. As an example, you can open your calendar each morning and have a list of prioritized calls or meetings based on purchase and redemption activities from the previous day. Our goal is to provide tools that empower sales teams to grow the business.

Our world-class technology frees you to focus on your business, instead of having to worry about the technology that enables it. All our solutions are provided in a hosted environment with advanced technology. This allows for seamless, successful CRM implementations. Once CRM systems are up and running (typically within 10-12 weeks), our dedicated team of account managers and system administrators provides regular maintenance and upgrades. This assures you of dramatically enhanced sales productivity and operational efficiency.

The CRM provides all the benefits of our robust Broker/Dealer Solution, while adding the ability to better know your customer. Since this is a true CRM, typical features – scheduling calls, meetings and follow-up – are integrated with your sales data. Use the CRM calendar or your Outlook calendar; both are easily integrated. And, our CRM Solution also provides a comprehensive list of views and reports.

Investment Advisor Capabilities

Investment Advisor data is now incorporated into our CRM solution, further enhancing your ability to pursue strategic and effective marketing and customer service initiatives. We provide data stewardship services that consolidate critical information over all major supermarkets to enhance visibility of the trading landscape. Our technology can also track, consolidate and analyze the supermarket trading history of investment professionals in a variety of interfaces.

Our Investment Advisor functionality provides numerous views and reports, such as:

- Sales purchases
- Redemptions
- Monitor-timing activity
- Comprehensive global advisor
- And much more.

All this information is indispensable for:

- Developing effective marketing efforts
- Tracking flows
- Compensating sales professionals
- Policing timing activities.

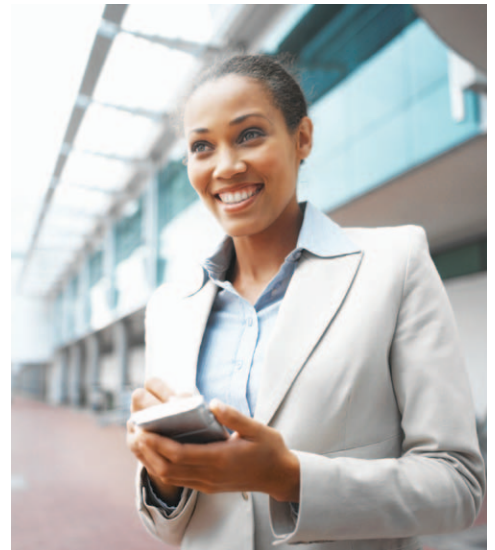
Contact Us

LouAnn Lorenz

212.505.4453

louann.lorenz@acs-inc.com

www.xerox.com/funds



Our Mobile Solution

Today's busy wholesalers need tools that enable immediate, tangible productivity in numerous areas – from contact management to sales reporting. Optimize your productivity and efficiency by having CRM data delivered directly to your preferred mobile device.

Our Mobile Solution provides a single source for e-mail, calendaring, office tools and transactional data. It enables you to make the most of your time and information. And, fitting in the palm of your hand, it's built for convenience, integrating seamlessly with the entire suite of Xerox products.

The Mobile Solution provides:

- Broker/advisor/branch/firm contact information
- Purchase, redemption, net sales and assets
- Representative activity management
- Call, meeting and to-do tracking with notes

About Xerox

Xerox is the world's leading enterprise for business process and document management. Xerox technology, expertise and services enable workplaces – from small businesses to global enterprises – to simplify the way work gets done so they operate more effectively.

